

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0001 1 1 20000

Applicants

Jiangchun Xu et al.

Application No.

09/593,793

Filed

June 13, 2000

For

COMPOSITIONS AND METHODS FOR THE THERAPY

AND DIAGNOSIS OF PROSTATE CANCER

Docket No. :

210121.42715C15

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints RICHARD W. SEED, Reg. No. 16,557; ROBERT J. BAYNHAM, Reg. No. 22,846; GEORGE C. RONDEAU, JR., Reg. No. 28,893; DAVID H. DEITS, Reg. No. 28,066; WILLIAM O. FERRON, JR., Reg. No. 30,633; DAVID J. MAKI, Reg. No. 31,392; RICHARD G. SHARKEY, Reg. No. 32,629; DAVID V. CARLSON, Reg. No. 31,153; KARL R. HERMANNS, Reg. No. 33,507; DAVID D. MCMASTERS, Reg. No. 33,963; MICHAEL J. DONOHUE, Reg. No. 35,859; JANE E. R. POTTER, Reg. No. 33,332; ROBERT IANNUCCI, Reg. No. 33,514, LORRAINE LINFORD, Reg. No. 35,939; DAVID W. PARKER, Reg. No. 37,414; ELLEN M. BIERMAN, Reg. No. 38,079; BRIAN G. BODINE, Reg. No. 40,520; ANN T. KADLECEK, Reg. No. 39,244; E. RUSSELL TARLETON, Reg. No. 31,800; KEVIN S. COSTANZA, Reg. No. 37,801; THOMAS E. LOOP, Reg. No. 42,810; STEPHEN J. ROSENMAN, Reg. No. 43,058; BRIAN L. JOHNSON, Reg. No. 40,033; SUSAN D. BETCHER, Reg. No. 43,498; WILLIAM T. CHRISTIANSEN, Reg. No. 44,614, GARY M. MYLES, Reg. No. 46,209; and ERIC J. GASH, Reg. No. 46,274;

comprising the firm of Seed Intellectual Property Law Group PLLC, 701 Fifth Avenue, Suite 6300, Seattle, Washington 98104-7092, and CYNTHIA L. SHUMATE, Reg. No. 38,303; KATHLEEN NORA MCKEREGHAN, Reg. No. 41,985; and JEFF E. HUNDLEY, Reg. No. 42,676, as its attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to Jane E. R. Potter at (206) 622-4900 and telecopies to (206) 682-6031.

Please direct all communications to:

Jane E. R. Potter, Esq. Seed Intellectual Property Law Group PLLC 701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to Corixa Corporation filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

> CORIXA CORPORATION **ASSIGNEE**

9/11/00 DATE

Kathleen Nora McKereghan

Vice President, General Counsel and Secretary

Enclosure:

Copy of Assignment

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WHEREAS, we,

Name	Post Office Address	
Jiangchun Xu	15805 SE 43 rd Place, Bellevue, WA 98006	;
Davin C. Dillon	18112 NW Montreux Drive, Issaquah, WA 98027	;
Jennifer L. Mitcham	16677 NE 88 th Street, Redmond, WA 98052	;
Susan L. Harlocker	7522 – 13 th Avenue W., Seattle, WA 98117	;
Yuqiu Jiang	5001 South 232 nd Street, Kent, WA 98032	,
Michael D. Kalos	8116 Dayton Ave. N., Seattle, WA 98103	;
Gary R. Fanger	15906 – 29 th Drive SE, Mill Creek, WA 98012	;
Marc W. Retter	33402 NE 43 rd Place, Carnation, WA 98014	;
John A. Stolk	7436 Northeast 144 th Place, Bothell, WA 98011	,
Craig H. Day	11501 Stone Ave. N., C122, Seattle, WA 98133-8317	;
Thomas S. Vedvick	124 South 300 th Place, Federal Way, WA 98003	;
Darrick Carter	321 Summit Avenue East, Seattle, WA 98102	,
Samuel X. Li	3608 175 th Cr. NE, Redmond, WA 98052	;
Aijun Wang	3106 213 th Place SE, Issaquah, WA 98029	;
Yasir A. W. Skeiky	15106 SE 47 th Place, Bellevue, WA 98006	;
William T. Hepler	12034 – 38 th Avenue NE, Seattle, WA 98125	; and
Robert A. Henderson	8904 – 192 nd Street SW, Edmonds, WA 98026	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF PROSTATE CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/593,793, as filed in the United States Patent and Trademark Office on June 13, 2000 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/570,737	5/12/00		210121.42714C14
09/568,100	05/09/00		210121.42713C13
09/536,857	03/27/00		210121.42712C12
09/483,672	01/14/00		210121.42711C11
09/443,686	11/18/99		210121.42710C10
09/439,313	11/12/99		210121.427C9
09/352,616	07/13/99		210121.427C8
09/288,946	04/09/99		210121.427C7
09/232,149	01/15/99		210121.427C6
09/159,812	09/23/98		210121.427C5
09/115,453	07/14/98		210121.427C4
09/030,607	02/25/98		210121.427C3
09/020,956	02/09/98		210121.427C2
08/904,804	08/01/97		210121.427C1
08/806,099	02/25/97		210121.427

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues

and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behalf, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us has this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

8/25/00	JAX
Date	Jiangchun Xu
State of Washington County of Ling	SS.

I certify that I know or have satisfactory evidence that Jiangchun Xu is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Signature of
Notary Public Jennia & Sisk
Printed Name Dennis J Sisk
My appointment expires $\frac{0.9-30-0.3}{0.00}$

•	
9/7/00	
Date	Davin C. Dillon
State of Washing Co	γ
King &) ss.
County of	
Lagrify that I k	now or have satisfactory evidence that Davin C. Dillon is the
·	e, and said person acknowledged that he signed this instrument
·	ree and voluntary act for the uses and purposes mentioned in the
instrument.	4
11000	
Da	ated Seplember 7, 2000
Si	gnature of
No	otary Public Menual Transfer
Pr	inted Name NVVAGE 1 SELECTION IN INVIDENTIAL INVIDENTI
M	y appointment expires
Date (25/00	Jennifer L. Mitcham
sure 1 balanta	
State of Washington) ss.
County of Kua	
)	
•	ow or have satisfactory evidence that Jennifer L. Mitcham is the
	e, and said person acknowledged that she signed this instrument
	ree and voluntary act for the uses and purposes mentioned in the
instrument.	
ZZANIS J. C. II	nted 18-25-00
= OF SESION WAY	gnature of
	otary Public Lemno & List
1 Amount	inted Name DEMONS J Sisk
A Manuscan -	
MY MASHINE	y appointment expires <u>04/30/03</u>

(1 - 2 AM)	Auson & Harlorke
Date 72, 2000	Susan L. Harlocker
State of Washington County of King	
I certify that I know o	or have satisfactory evidence that Susan L. Harlocker is the
person who appeared before me, and and acknowledged it to be her free ar	I said person acknowledged that she signed this instrument and voluntary act for the uses and purposes mentioned in the
instrument.	
Dated _ Signatu Notary	September 12000 re of Public Neudu J Bryan
Printed	Name UEIRDRE I. BRYAN
	pointment expires 9/1/02
9/6/2000	
Date .	Yuqiu Jiang
State of WashingTon County of Ling)) ss.
I certify that I know o	r have satisfactory evidence that Yuqiu Jiang is the person
	person acknowledged that he signed this instrument and
	voluntary act for the uses and purposes mentioned in the
instrument.	1
Dated	Sentember 6 roor
Signatu Notary	
Printed	Name DEIRDRE I. BRYAN
My ann	ointment expires 9/1/12

Date State of I certify that I know or have satisfactory evidence that Michael D. Kalos is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated Signature of Notary Public Printed Name My appointment expires Gary R Janger State of As hunton I certify that I know or have satisfactory evidence that Gary R. Fanger is the person who appeared before me, and said person acknowledged that he signed this instrument
State of
State of
I certify that I know or have satisfactory evidence that Michael D. Kalos is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated Signature of Notary Public Printed Name My appointment expires Gary R. Janger State of Mashunton State of Mashunton I certify that I know or have satisfactory evidence that Gary R. Fanger is the
I certify that I know or have satisfactory evidence that Michael D. Kalos is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated Signature of Notary Public Printed Name My appointment expires Gary R Janger State of Mashunton Signature of Notary Public Printed Name My appointment expires Gary R Janger State of Mashunton I certify that I know or have satisfactory evidence that Gary R. Fanger is the
I certify that I know or have satisfactory evidence that Michael D. Kalos is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated
person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated
person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Dated Signature of Notary Public Printed Name My appointment expires 9/1/0 2 Date Gary R. Janger State of Mashurton Signature of Notary Public Printed Name My appointment expires 9/1/0 2 Gary R. Janger State of Mashurton Ss. County of Lag I certify that I know or have satisfactory evidence that Gary R. Fanger is the
Date State of County of I certify that I know or have satisfactory evidence that Gary R. Fanger is the instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument. Date Gary R. Fanger is the
Dated September 6 2000 Signature of Notary Public Printed Name My appointment expires 9//0 2 Date Gary R. Janger State of Mashington Ss. County of Ling I certify that I know or have satisfactory evidence that Gary R. Fanger is the
Dated September 6 2000 Signature of Notary Public Printed Name My appointment expires Gary R. Janger State of Mashington State of
Signature of Notary Public Printed Name My appointment expires Gary R. Janger State of Mashurton Stat
Signature of Notary Public Printed Name My appointment expires Gary R. Janger State of Mashurton Stat
Notary Public Printed Name DEIRDRE I. BRYAN My appointment expires Gary R. Janger State of Mashuntun State of Mashuntun State of Mashuntun I certify that I know or have satisfactory evidence that Gary R. Fanger is the
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County of Ss. I certify that I know or have satisfactory evidence that Gary R. Fanger is the
I certify that I know or have satisfactory evidence that Gary R. Fanger is the
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person who appeared before me, and said person acknowledged that he signed this instrument
and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the
instrument.
Dated September 8 2000
Dated September 8 2000 Signature of Notary Public Mendre J Bryan
Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

Sept 6.	2000 Marc W. Retter
Date	Marc W. Retter
State of Washing County of King	(bn.)) ss.
person who appeared before	I know or have satisfactory evidence that Marc W. Retter is the me, and said person acknowledged that he signed this instrument is free and voluntary act for the uses and purposes mentioned in the
	Dated September 6 2000 Signature of Notary Public Printed Name DEIRDRE I. BRYAN My appointment expires 91, 102
9 W 00	John A. Stolk
State of Weshingt County of King) ss.
who appeared before me, a	know or have satisfactory evidence that John A. Stolk is the person and said person acknowledged that he signed this instrument and free and voluntary act for the uses and purposes mentioned in the
	Signature of Notary Public Printed Name My appointment expires My Appointment expires Description 11, 2000 Signature 11, 2000 Description 11, 2000 Signature of Bryan Description 11, 2000 Description 11, 2000 Signature of Bryan Description 11, 2000 Descr

-9/6/00		.71.0	c
Date	Craig H. Day		
State of Washington)		
County of Kmg) ss		
I certify that I know or ha	ve satisfactory evid	ence that Craig H.	Day is the person
who appeared before me, and said per	son acknowledged	that he signed th	is instrument and
acknowledged it to be his free and vol	untary act for the u	ises and purposes	mentioned in the
instrument.			
D . 1	A sta	1	<i>a.</i>
Dated	Septem	ver 6,2	L .
Signature o Notary Pul	A 11 //	re I	dijan
Printed Na	me	<u>EIRDRE I.</u>	BRYAN
My appoin	tment expires	9/1/0	2
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		- // V	1/
Sept 6, 2000	1 house	I llect	<u>1</u>
Date	Thomas S. Ved	vick	
State of Mas hington)		
) ss.		
County of King)		
I a selection of the second of the		lanas that Theorem	. C. Wadadala ia 4laa
I certify that I know or ha			
person who appeared before me, and sa and acknowledged it to be his free and v			
instrument.	ordinary act for the	uses and purposes	s memoried in the
instrument.	/		
Dated	Septe	mber 6	2000
Signature of		- A	h
Notary Pul	dic Mend	e o lo	yan
Printed Na	neU	IKUKE I.	3RYAN_

My appointment expires $\frac{9}{\sqrt{32}}$

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9/08/00		Mo	2/	anti-
Date		Darrick Carter		
State of Washing	ton	SS.		
County of King		33.		
r	1		4 45 11	
·				k Carter is the person
who appeared before me, a		_		
acknowledged it to be his f	ree and volunta	ary act for the use	es and purpos	ses mentioned in the
instrument.				
	Dated	Septen	her &	2000
,	Signature of			' L
	Notary Public	Hendre		Sugar
	Printed Name	_ OE	IRDRE	. BRYAN
	My appointme	ent evnires	91,/2	<u>~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~</u>
	wiy appointme	int expires		
9/12/00		M		
Date		Samuel X. Li		
		•		
State of Washington				
County of King)	SS.		
County of				
I contifu that I	lmour or hous	antinfantamı avidar	saa that Camer	al V I i ia tha manaan
				el X. Li is the person
who appeared before me, a	_			
acknowledged it to be his f	ree and volunta	ary act for the use	es and purpos	es mentioned in the
instrument.		,		
	Dated	Septen	rper	12,2000
	Signature of Notary Public	Neurol	re I :	Bryan
	Printed Name	DE	IRDRE	LBRYAN
	My appointme	nt expires	9/1/0	2

My appointment expires __

9/12/10	ai Man
Date	Aijun Wang
State of Washington	_)
County of Rica	_)SS.)
Leertify that I know or h	have satisfactory evidence that Aijun Wang is the person
•	rson acknowledged that she signed this instrument and
••	luntary act for the uses and purposes mentioned in the
instrument.	, IL
	1 -
Dated	Deplember 12, 2000
Signature Notary Pu	of $(A \cap A)$
Printed Na	DEIRDRE I, BRYAN
My appoir	ntment expires $\frac{9}{100}$
Date Date	Yasir A. W. Skeiky
State of Washington	
County of King) ss. _)
I certify that I know or h	ave satisfactory evidence that Yasir A. W. Skeiky is the
person who appeared before me, and s	aid person acknowledged that he signed this instrument
and acknowledged it to be his free and	voluntary act for the uses and purposes mentioned in the
instrument.	4
Dated	September 7 2000
Signature	of 1
Notary Pu	
Printed Na	DEIDNDE I DOVANI

My appointment expires _____

p lou la an		10×20		
8/24/2000 Date)	William T/Hepler		
State of Washington	<u>~</u>	ss.		
County of King				
I certify that I k	know or have	satisfactory evidence	ce that William T. H	lepler is the
person who appeared before n				
and acknowledged it to be his:	free and volu	ntary act for the use	s and purposes ment	ioned in the
S N P	Dated lignature of Notary Public rinted Name My appointme	Lugust Newdie DEIRI nt expires	28, 2000 DRE I. BRY/	
<u>216 (00</u>	1	MACAL Robert A. Henderso	n	··
State of Dashing	- m)			
County of King		SS.		
I certify that I known person who appeared before mand acknowledged it to be his:	ne, and said p	person acknowledge	_	instrument
instrument.	iree and void	indif det for the disc.	s and purposes ment	ioned in the
	Oated	September	- 6 2000	
S	ignature of lotary Public	Hendre	J' Brys	
P	rinted Name	UEIKI	THE I' RHAY	
N	Iy appointme	nt expires	1, 102	

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